NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

STATES DESIG	NATED/ELECTED OFFICE (DO/EO/US)
. The following items have been submitted	by the applicant or the IB to the United States Patent and Trademark
Office as a Designated Office (37)	CFR 1.494) an Elected Office (37 CFR 1.495):
U.S. Basic National Fee.	☐ Indication of Small Entity Status.
Copy of the international applicat	
Oath or Declaration of inventors(
Copy of Article 19 amendments.	Of er:
riority Document.	
The International Preliminary Ex	amination Report in English and its Annexes, if any.
Translation of Annexes to the Int	ernational Preliminary Examination Report into English.
- Applicant has requested early processing	g under 35 U.S.C. 371(f) but has not filed the following indicated items and/or
be indicated items in paragraph 3 below. The	e Basic National Fee and the copy of the international application must be filed
orior to 20 or 30 months from the priority dat	e to avoid abandonment.
U.S. Basic National Fee.	Copy of the international application.
	within the period set forth below in order to complete the requirements for
ecceptance under 35 U.S.C. 371:	into English. A processing fee will be required if submitted
a. Translation of the application	O or 30 months from the priority date.
The current translation is do	efective for the reasons indicated on the attached Notice of F efective
Translation	
b. Processing fee for providing the	he translation of the application and/or the Annexes later than the
unpropriate 20 or 30 month	is from the priority date (37 CFR 1.492(f)).
(X) c. Oath or declaration of the inve	entors, in compliance with 37 CFR 1.497(a) and (b), properly identifying
surcharge will be required i	by the International application number and international filing date). A if submitted later than the appropriate 20 or 30 months from the priority
date.	tion does not comply with 37 CFR 1.497(a) and (b) for the reasons
indicated on the attached Po	
d. Surcharge for providing the o	ath or declaration later than the appropriate 20 or 30 months from the
priority date /37 CER 1 40	2(e))
4. Additional claim fees of \$	as a large entity small entity, including any required multiple dependent
claim fee, are required. Applicant must subn due (37 CFR 1.492(g)). See attached PTO-8	nit the additional claim fees or cancel the additional claims for which fees are 75.
5. 🛐 Applicant has not submitted the require PCT/DO/EO/920.	ed sequence listing pursuant to 37 CFR 1.821-1.825. See attached
MONTHS FROM THE DATE OF THIS N THE PRIORITY DATE FOR THE APPL RESPOND WILL RESULT IN ABANDO!	
The time period set above may be extended b 1.136(a).	by filing a petition and fee for extension of time under the provisions of 37 CFR
Amount will be concelled. A processing fee	of the Annexes MUST be submitted no later than the time period set above or the will be required if submitted later than 20 or 30 months from the priority date, led since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) jority date.
Applicant is reminded that any communication address given in the heading and include the	on to the United States Patent and Trademark Office must be mailed to the U.S. application no. shown above. (37 CFR 1.5)
A copy of this n	otice MUST be returned with this response.
Enclosed: PCT/DO/EO/917	Notice of Defective Translation
PTO-875	X PCT/DO/EO/920
₽,,,,	John Anderson Vg-77
FORM PCT/DO/EO/905 (March 2001)	Telephone: 703 308-9116

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

Atty. Ref.: 1430-263 ELLIS

Serial No.: 09/763,024 Group Art Unit:

Filed: March 20, 2001 Examiner:

For: GRIP, HUMAN ADAPTER PROTEIN RELATED TO THE GRB2 FAMILY

MEMBER

July 23, 2001

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Hon. Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

In response to the Notification of Missing Requirements dated May 21, 2001, attention is directed to the fact that the inventor's declaration was in fact filed on March 20, 2001. A copy of the papers filed March 20, 2001, is enclosed, together with a copy of the postcard receipt evidencing that filing.

Acknowledgement of receipt of the foregoing is requested.

Respectfully submitted,

NIXON & VANDERHYE, P.C.

Mary J. Wilson

Req. No. 32,955

MJW:tat

1100 North Glebe Road, 8th Floor Arlington, Virginia 22201-4714

Telephone: (703) 816-4000 Facsimile: (703) 816-4100

							#M			
FORM PTO-1390 (REV 11-98)		D-1390 B)	U.S. DEPARTMENT (MERCE PATENT AND TRA	DEMARK OFFICE	ATTO-NEY'S DOCKET NUMBER 1430-263				
		TRA	NSMITTAL LETTE	R TO THE UNITED STAT	ES	U.S. APPLICATION NO. (If known, see 37 C	.F.R. 1.5)			
		D	ESIGNATED/ELEC	CTED OFFICE (DO/EO/US	S)		•			
	CONCERNING A FILING UNDER 35 U.S.C. 371 09/763,024									
K ₁ T	INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED									
×		PCT/GE	399/02738	18 August 19	99	19 August 1998	8			
TIT	LE O	FINVEN	TION							
			GRIP, HUM	AN ADAPTER PROTEIN RE	LATED TO THE	GRB2 FAMILY MEMBER				
APF	PLICA	ANT(S) F	OR DO/EO/US							
				E	LLIS					
App	licani	t herewiti	h submits to the Unite	ed States Designated/Elected	Office (DO/EO/U	S) the following items and other info	ormation:			
1.		cant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.								
2.	\boxtimes	This is	a SECOND or SUBS	EQUENT submission of item	s concerning a fil	ing under 35 U.S.C. 371.				
3.	\boxtimes	This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).								
4.	\boxtimes	A prop	er Demand for Interna e earliest claimed pri	ational Preliminary Examinati prity date.	on was made by t	the 19 th month				
5.	A co	opy of the	e International Applica	ation as filed (35 U.S.C. 371(c)(2)).					
	a.	□ is	transmitted herewith	(required only if not transmit	ted by the interna	tional Bureau				
	b.	_⊠ ha	as been transmitted b	y the International Bureau.		·				
	C.	i∐ ıs	not required, as the	application was filed in the Ur	nited States Rece	iving Office (RO/US).				
6.		A trans	lation of the Internation	onal Application into English	(35 U.S.C. 371(c)	(2)).				
7.				f the International Application						
	a. b.	☐ ai	re transmitted herewit ave been transmitted	h (required only if not transm by the International Bureau.	itted by the Intern	ational Bureau).				
	c. d.	L ha	ave not been made; h ave not been made ar	owever, the time limit for ma	king such amendr	ments has NOT expired.				
8.		A trans	lation of the amendm	ents to the claims under PCT	Article 19 (U.S.C	C. 371(c)(3)).				
9.	\boxtimes	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Item	ıs 11.	To 16. E	Below concern docu	ment(s) or information incl	uded:					
11.		An Info	rmation Disclosure St	atement under 37 C.F.R. 1.9	7 and 1.98.	·				
12.	\boxtimes	An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.								
13.		A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment.								
14.		A subst	itute specification.	-						
15.		A chang	ge of power of attorne	y and/or address letter.						
16.		Other items or information. This application is entitled to "Small entity" status. "Small entity" statement attached.								
				ording status.	La Cinali Cilli	Julien en anacheu.				

. ,	S. APPLICATION NO. (If known, see 37 C.F.) 109/763,024 INTERNATIONAL APPLICATION NO. PCT/GB99/02738			ATTORNEY'S DOCKET NUMBER 1430-263					
17. The following fees are submitted:							CALCULATIONS PTO USE ON		
BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):									
Neither internatio	nal prelimina	ry examination	on fee (37 C.F.R. 1.482)						
nor international:	search fee (3	7 C.F.R. 1.44	45(a)(2)) paid to USPTO						
and International Search Report not prepared by the EPO or JPO									
International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPOS860.00									
International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2) paid to USPTO									
					5/10.00				
International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)									
International preli	minary exam	ination fee page of PCT A	aid to USPTO (37 C.F.R. Article 33(1)-(4)	1.482)	\$100.00				
and an claims sat	isited provisit	3113 01 1 0 1 7						Ι	
			ENTER APPROPRIATE		E AMOUNT =	S	0.00		
Surcharge of \$130.00 fo	r furnishing th	ne oath or de	claration later than 20	□ 30		ا _ ا	0.00		
months from the earliest					A T.F.	S	0.00		
CLAIMS Total Claims	NUMBE		NUMBER EXTRA		ATE			·	
Independent Claims	8 3	-20 = -3 =	0	X	S18.00	S	0.00	-	
MULTIPLE DEPENDEN					S80.00	_	0.00	<u> </u>	
WOLTIFLE DEFENDEN	I CLAINS(S)	(ii applicabi	TOTAL OF A		70.00	S	0.00	-	
Reduction by 16 for filing	by small onti	ty if applicat	ole. Small entity status mi			3	0.00	-	
(Note 37 C.F.R. 1.9, 1.2)		iy, ii appiicai	ole. Small entity status mi	usi also de a	sserieu.		0.00		
				Ş	SUBTOTAL =	S	0.00	T	<u>,</u>
Processing fee of \$130.0	00, for furnish	ing the Engli	ish Translation later than [20 30					
months from the earliest	claimed prior	rity date (37 (+			0.00		
					ONAL FEE =	S	0.00		
			F.R. 1.21(h)). The assign.						
			S.F.R. 3.28, 3.31). \$40.00			S	120.00		
Fee for Petition to Revive	e Unintention	ally Abandor	ned Application (\$1240.00			S	0.00	<u> </u>	
				TAL FEES E	NCLOSED =	S	120.00	—	
	•					Amount to be:		ا ۽ ا	
						-	refunded Charged	S	
				·		L	Charged	3	
 a. A check in the amount of \$120.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 14-1140 in the amount of \$ to cover the above fees. A duplicate copy of this 								of this	
form is enclose	form is enclosed.								
c. 🛛 The Commissi	,								
Deposit Accou	int No. <u>14-11</u>	40. A duplica	ate copy of this form is en	closed.					
	tent of the for	reign applica	tion(s), referred to in this a	application is	are hereby inc	corp	orated by refe	rence	in this
application.	anziata tima	limit under '	27 C C D 1 404 or 1 405 l	haa met haa		(to marries (27 C		
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
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NIXON & VANDERHYE	P.C			SIGNATI	NHF /				
1100 North Glebe Road,					\				
Arlington, Virginia 22201									
Telephone: (703) 816-4000 Mary J. Wilson									
NAME									
32.955							March 20, 2	2001	
				PEGIST	RATION NUMBE	=R	Date		

Serial No.: 09/703, 024 Applicant: ELLIS Applicant: ELLIS Applicant: ELLIS Applicant: ELLIS Applicant: ELLIS Title: GRIP, HUMAN ADAPTEL Client: Glato Smith Clinc The GRB2 Ret: 1430-263 The Grant Amendment Pages Specification Claims Sheets Drawings: Formal Informal Informal Pages Assignment + Recordation for m Cover Sheet Priority Document Priority Document Base Issue Feb Transmittal The Grant Ret of the Grant Completion The Com	ξ.
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